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TRANSMITTAL FORM

(to-be used for all correspondence after initial filing)

| | | | |
|--|----|------------------------|---------------------------------------|
| | | Application No. | 10/085,839 |
| | | Filing Date | February 25, 2002 |
| | | First Named Inventor | Michael A. Kozuch |
| | | Group Art Unit | 2131 |
| | | Examiner Name | Gail O. Hayes |
| Total Number of Pages in This Submission | 14 | Attorney Docket Number | Technology Center 2100 42390P10794 |

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| ENCLOSURES (check all that apply) | | |
|---|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input checked="" type="checkbox"/> PTO/SB/08 <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Basic Filing Fee <input type="checkbox"/> Declaration/POA <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) | <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <div style="border: 1px solid black; padding: 5px;">8 Cited References</div> |
| Remarks | | |

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

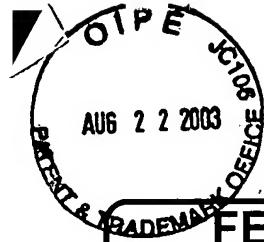
| | |
|-------------------------|--|
| Firm or Individual name | William W. Schaal, Reg. No. 39,018 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP |
| Signature | |
| Date | August 20, 2003 |

CERTIFICATE OF MAILING/TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

| | | | |
|-----------------------|---------------------|------|-----------------|
| Typed or printed name | Corrinn R. Reynolds | | |
| Signature | | Date | August 20, 2003 |

Based on PTO/SB/21 (03-03) as modified by Blakely, Sokoloff, Taylor & Zafman (wir) 08/11/2003.
SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450



AUG 22 2003

FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27.

| | |
|-------------------------|-------|
| TOTAL AMOUNT OF PAYMENT | (\\$) |
|-------------------------|-------|

Complete if Known

| | |
|----------------------|-------------------|
| Application Number | 10/085,839 |
| Filing Date | February 25, 2002 |
| First Named Inventor | Michael A. Kozuch |
| Examiner Name | Gail O. Hayes |
| Group/Art Unit | 2131 |
| Attorney Docket No. | 42390P10794 |

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METHOD OF PAYMENT (check all that apply)

Check Credit card Money Order Other None
 Deposit Account

Deposit Account Number

Deposit Account Name

The Commissioner is authorized to: (check all that apply)

- Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity | | Small Entity | | Fee Description | Fee Paid |
|--------------|-----|--------------|-----|------------------------|----------------------|
| Fee Code | \$ | Fee Code | \$ | | |
| 1001 | 750 | 2001 | 375 | Utility filing fee | <input type="text"/> |
| 1002 | 330 | 2002 | 165 | Design filing fee | <input type="text"/> |
| 1003 | 520 | 2003 | 260 | Plant filing fee | <input type="text"/> |
| 1004 | 750 | 2004 | 375 | Reissue filing fee | <input type="text"/> |
| 1005 | 160 | 2005 | 80 | Provisional filing fee | <input type="text"/> |
| SUBTOTAL (1) | | (\$) | | | |

2. EXTRA CLAIM FEES

| Total Claims | Independent Claims | Extra Claims | Fee from below | Fee Paid |
|----------------------|----------------------|-------------------------------|------------------------|------------------------|
| <input type="text"/> | <input type="text"/> | - 20** = <input type="text"/> | X <input type="text"/> | = <input type="text"/> |
| | | 3 = <input type="text"/> | X <input type="text"/> | = <input type="text"/> |
| | | | | = <input type="text"/> |

| Large Entity | | Small Entity | | Fee Description | Fee Paid |
|--------------|-----|--------------|-----|---|----------------------|
| Fee Code | \$ | Fee Code | \$ | | |
| 1202 | 18 | 2202 | 9 | Claims in excess of 20 | <input type="text"/> |
| 1201 | 84 | 2201 | 42 | Independent claims in excess of 3 | <input type="text"/> |
| 1203 | 280 | 2203 | 140 | Multiple Dependent claim, if not paid | <input type="text"/> |
| 1204 | 84 | 2204 | 42 | **Reissue independent claims over original patent | <input type="text"/> |
| 1205 | 18 | 2205 | 9 | **Reissue claims in excess of 20 and over original patent | <input type="text"/> |
| SUBTOTAL (2) | | (\$) | | | |

**or number previously paid, if greater. For Reissues, see below

| | |
|----------------------|-------------------|
| Application Number | 10/085,839 |
| Filing Date | February 25, 2002 |
| First Named Inventor | Michael A. Kozuch |
| Examiner Name | Gail O. Hayes |
| Group/Art Unit | 2131 |
| Attorney Docket No. | 42390P10794 |

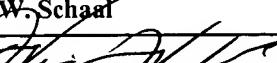
Technology Center 2100

3. ADDITIONAL FEES

| Large Entity | Small Entity | Fee Description | Fee Paid |
|---------------------|--------------|-----------------|---|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) |
| 1051 | 130 | 2051 | 65 Surcharge - late filing fee or oath |
| 1052 | 50 | 2052 | 25 Surcharge - late provisional filing fee or cover sheet. |
| 2053 | 130 | 2053 | 130 Non-English specification |
| 1812 | 2,520 | 1812 | 2,520 For filing a request for ex parte reexamination |
| 1804 | 920 * | 1804 | 920 * Requesting publication of SIR prior to Examiner action |
| 1805 | 1,840 * | 1805 | 1,840 * Requesting publication of SIR after Examiner action |
| 1251 | 110 | 2251 | 55 Extension for reply within first month |
| 1252 | 410 | 2252 | 205 Extension for reply within second month |
| 1253 | 930 | 2253 | 465 Extension for reply within third month |
| 1254 | 1,450 | 2254 | 725 Extension for reply within fourth month |
| 1255 | 1,970 | 2255 | 985 Extension for reply within fifth month |
| 1404 | 320 | 2401 | 160 Notice of Appeal |
| 1402 | 320 | 2402 | 160 Filing a brief in support of an appeal |
| 1403 | 280 | 2403 | 140 Request for oral hearing |
| 1451 | 1,510 | 2451 | 1,510 Petition to institute a public use proceeding |
| 1452 | 110 | 2452 | 55 Petition to revive - unavoidable |
| 1453 | 1,300 | 2453 | 650 Petition to revive - unintentional |
| 1501 | 1,300 | 2501 | 650 Utility issue fee (or reissue) |
| 1502 | 470 | 2502 | 235 Design issue fee |
| 1503 | 630 | 2503 | 315 Plant issue fee |
| 1460 | 130 | 2460 | 130 Petitions to the Commissioner |
| 1807 | 50 | 1807 | 50 Processing fee under 37 CFR 1.17(q) |
| 1806 | 180 | 1806 | 180 Submission of Information Disclosure Stmt |
| 8021 | 40 | 8021 | 40 Recording each patent assignment per property (times number of properties) |
| 1809 | 750 | 1809 | 375 Filing a submission after final rejection (37 CFR § 1.129(a)) |
| 1810 | 750 | 2810 | 375 For each additional invention to be examined (37 CFR § 1.129(b)) |
| 1801 | 750 | 2801 | 375 Request for Continued Examination (RCE) |
| 1802 | 900 | 1802 | 900 Request for expedited examination of a design application |
| Other fee (specify) | | | |
| SUBTOTAL (3) | | | (\$) |

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

| SUBMITTED BY | | Complete (if applicable) | | |
|-------------------|---|-----------------------------------|----------|--------------------------|
| Name (Print/Type) | William W. Schaeff | Registration No. (Attorney/Agent) | 39,018 | Telephone (503) 684-6200 |
| Signature |  | Date | 08/20/03 | |

Based on PTO/SB/17 (06-03) as modified by Blakely, Sokoloff, Taylor & Zafman (wir) 08/11/2003.
SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450



Docket No.: 42390P10794

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

MICHAEL A. KOZUCH, ET AL.

Application No.: 10/085,839

Filed: February 25, 2002

For: **METHOD AND APPARATUS FOR
LOADING A TRUSTABLE OPERATING
SYSTEM**

Art Group: 2131

Examiner: Gail O. Hayes

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INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure Statement by Applicant (form PTO/SB/08), which is being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s). Copies of the references cited on PTO/SB/08 are enclosed herewith.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Applicant has concurrently submitted an electronic Information Disclosure Statement (IDS) for the above-referenced patent application. In the concurrently filed electronic IDS submission, it was requested that any requisite fees be debited from Deposit Account 02-2666. Applicant respectfully requests that only one fee be debited for these concurrently filed IDS submissions if such fees are required.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

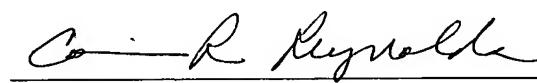


William W. Schaal, Reg. No. 39,018

Date: August 20, 2003

12400 Wilshire Blvd., 7th Floor
Los Angeles, California 90025
(503) 684-6200

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Corinn R. Reynolds

08-20-03

Date

| FOREIGN PATENT DOCUMENTS | | | | | | | |
|----------------------------|--------------------------|-------------------------|--|--|--|--|----------------|
| Exa. m. Initi al* | Cite No. ¹ | Foreign Patent Document | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ⁶ |
| | | Office ³ | Number ⁴ Kind Code ⁵ (If known) | | | | |
| | | / | WO 02 17555 | A Verisign, Inc. | 02/28/2002 | | |
| | | / | WO 01 75564 | A Intel Corporation | 10/11/2001 | | |
| | | / | WO 02 086684 | A Hewlett Packard | 10/31/2002 | | |
| | | / | JP02000076139 | A Nippon | 03/14/2000 | | |
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|-----------------------|--|-----------------|--|
| Examiner Signature | | Date Considered | |
|-----------------------|--|-----------------|--|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

Assistant Commissioner for Patents, Washington, DC 20231.

Substitute for Form 1449A/PTO (Modified)

Complete if Known

| | |
|------------------------|----------------|
| Application Number | 10/085,839 . |
| Filing Date | 02/25/2002 |
| First Named Inventor: | Kozuch, et al. |
| Group Art Unit | 2131 |
| Examiner Name | Gail O. Hayes |
| Attorney Docket Number | 042390.P10794 |

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Sheet

of 2

OTHER ART – NO PATENT LITERATURE DOCUMENTS

| Examiner Initials* | Cite No ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | T ² |
|--------------------|----------------------|--|------------------------|
| | | "Information Display Technique for a Terminate Stay Resident Program," IBM Technical Disclosure Bulletin, TDB-ACC-No. NA9112156, 12/1/91, pp. 156-158, Vol. 34, Issue No. 7A | |
| | | ROBIN, JOHN SCOTT and IRVINE, CYNTHIA E., "Analysis of the Pentium's Ability to Support a Secure Virtual Machine Monitor," Proceedings of the 9th USENIX Security Symposium, 8/14/2000, pp. 1-17, XP002247347, Denver, CO. | |
| | | KARGER, PAUL A., et al., "A VMM Security Kernel for the VAX Architecture," Proceedings of the Symposium on Research in Security and Privacy, 5/7/1990, pp. 2-19, XP010020182, ISBN: 0-8186-2060-9, Boxborough, MA. | |
| | | CHIEN, ANDREW A., et al., "Safe and Protected Execution for the Morph/AMRM Reconfigurable Processor," 7th Annual IEEE Symposium, FCCM '99 Proceedings 4/21/1999, pp. 209-221, XP010359180, ISBN: 0-7695-0375-6, Los Alamitos, CA. | |
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Examiner Signature

Date Considered

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.

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